CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Date Mailed to Service List: November 22, 2016

Protest Deadline (20th Day): December 12, 2016

Review Deadline (30th Day): December 22, 2016

Rate Impact: \$See AL

See AL%

Requested Effective Date: January 7, 2017

Utility Name: California American Water

District: Sacramento District

⊠2

□3

 \square Compliance

CPUC Utility #: U210W

Tier □1

Description: 2017 Step rate filing.

Authorization D.15-04-007

Advice Letter #: 1140

	ne for this advice letter is 20 days from the section in the advice letter for more inforn		vas mailed to the service list. Please
Utility Contact:	Melody Singh	Utility Contact:	Sherrene Chew
Phone:	916-568-4246	Phone:	916-568-4233
Email:	melody.singh@amwater.com	Email:	Sherrene.chew@amwater.com
DWA Contact:	Tariff Unit		
Phone:	(415) 703-1133		
Email:	Water.Division@cpuc.ca.gov		
	DWA USE O	ONLY	
<u>DATE</u>	STAFF COMMENTS		
[] APPROVED		DRAWN	[] REJECTED
			[] REJECTED

www.amwater.com





November 22, 2016

ADVICE LETTER NO. 1140

TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

California-American Water Company (California American Water) (U210W) hereby submits for review this advice letter including the following tariff sheets applicable to its Sacramento District which are attached hereto:

C.P.U.C. Sheet No.	<u>Title of Sheet</u> Schedule No. SC-1	Canceling Sheet No.
XXXX-W	Sacramento District Tariff Area GENERAL METERED SERVICE	8103-W
XXXX-W	Schedule No. SC-1 (Continued) Sacramento District Tariff Area GENERAL METERED SERVICE	8104-W
XXXX-W	Schedule No. SC-1 (Continued) Sacramento District Tariff Area GENERAL METERED SERVICE	XXXX-W
XXXX-W	Schedule No.CA-LIRA Sacramento District Tariff Area LOW INCOME RATEPAYER ASSISTANCE SERVICE	8147-W
XXXX-W	Schedule No.CA-4 Sacramento District Tariff Area PRIVATE FIRE PROTECTION SERVICE	8149-W
XXXX-W	TABLE OF CONTENTS (Continued) (Page 2)	XXXX-W
XXXX-W	TABLE OF CONTENTS (Continued) (Page 3)	XXXX-W
XXXX-W	TABLE OF CONTENTS (Page 1)	XXXX-W

<u>Purpose:</u>

This advice letter filing is being made in order to request approval of the 2017 step increase for Sacramento District in accordance with California Public Utilities Commission (Commission) Decision (D.) 15-04-007.

Background:

Submission of these tariffs is made in compliance with Ordering Paragraph 8 of D. 15-04-007 which states:

For escalation years 2016 and 2017, California-American Water Company shall file Tier 2 advice letters proposing new revenue requirements and corresponding revised tariff schedules for each district. The filing shall include rate procedures set forth in the Commission's Rate Case Plan (Decision 07-05-062) for Class A Water Utilities and shall include appropriate supporting workpapers. The revised tariff schedules shall take effect no earlier than January 1, 2016, and January 1, 2017, respectively and shall apply to service rendered on and after their effective dates.

These tariffs are also being filed in compliance with ordering paragraph 13 of D. 15-04-007 which states:

13. California-American Water Company is required to file 2016 and 2017 escalation year filings for each and every district.

This filing also includes an analysis on Cal-Am's low income program per the settlement agreement adopted in D.15-04-007, which states:

...ORA and CAW further agree:

- 1. The program will be a Company-wide program,
- 2. A surcharge will be based on the parameters and calculations as detailed in the above Rate Design Section 10 of this document,
- 3. The surcharge will be applicable to all non-low Income water and wastewater customers.
- 4. The surcharge will be evaluated and adjusted annually in the annual Step rate filings to ensure appropriate collections, and

The annual adjustment will be based on calculations as provided in Attachment E-7 to this settlement wherein actual data for discounts, Low Income customers and total customers are inserted into the calculation to replace estimates that are currently shown. Actual data should be through September 30, of the last recorded period.

Request:

This advice letter filing is to request implementation of Sacramento District's 2017 step increase. As shown in the supporting earnings test and workpapers for the twelve months ended September 30, 2016, the district earned a rate of return of 7.54% on a recorded basis and 8.56% on a "proforma" basis. The most recent authorized rate of return per D.12-07-009 was 8.41% adjusted to 8.49% to match the designated pro forma period.

In accordance with the Commission staff's procedures for filing step increase, attrition and offset advice letters, since the pro forma rate of return is above the authorized rate of return of the same time period, the district is over earning and is, therefore, not entitled to the full step rate increase. California American Water, therefore, respectfully requests a step rate increase in the amount of \$2,631,321 or 4.96%.

In accordance with Section 4.3 of General Order No. 96-B, a copy of this advice letter is being sent to those entities listed in Exhibit A.

Copies of the detailed workpapers and the documents supporting this Advice Letter have been furnished to the Commission Staff.

The actions requested in this advice letter are not now the subject of any formal filings with the Commission, including a formal complaint, nor action in any court of law.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Tier Designation:

Pursuant to D.15-04-007, this advice letter is submitted with a Tier 2 designation.

Effective Date:

Pursuant to D.15-04-007, California American requests an effective date of January 7, 2017.

RESPONSE OR PROTEST¹

Anyone may submit a response or protest for this AL. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds² are:

- 1. The utility did not properly serve or give notice of the AL;
- 2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3. The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- 5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- 6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require relitigating a prior order of the Commission.
- 7. A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

¹ G.O. 96-B, General Rule 7.4.1

² G.O. 96-B. General Rule 7.4.2

DWA must receive a response or protest via email (<u>or</u> postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, <u>please include the utility name and</u> advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address: Mailing Address:

Water. Division@cpuc.ca.gov CA Public Utilities Commission

Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to California American Water at:

Email Address: Mailing Address:

todd.pray@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

sarah.leeper@amwater.com 555 Montgomery Street, Ste. 816

San Francisco, CA 94111

nancy.mohr@amwater.com 4701 Beloit Drive

Sacramento, CA 95838

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20 day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES³

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

CALIFORNIA-AMERICAN WATER COMPANY

/s/ Todd Pray
Todd Pray
Senior Manager - Rates & Regulatory

³ G.O. 96-B, General Rule 7.4.3

655 West Broadway, #1410 San Diego, CA 92101

C.P.U.C SHEET NO. XXXX-W Revised

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Schedule No. SC-1 Sacramento District Tariff Area GENERAL METERED SERVICE

Base Rate

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

The unincorporated communities, subdivisions, and adjacent areas generally known as Cordova, Rosemont, Parkway Estates, Lindale, Foothill Farms, Arlington Heights, Linwood, Loretto Heights, Arden Highlands, Arden Estates and Sunrise Security Park. A part of the City of Citrus Heights and the communities of Antelope and Sabre City in Sacramento and Placer counties. The city of Isleton and vicinity and the unincorporated community of Walnut Grove in Sacramento County. The lower southwestern portion of Placer County including the areas Known as Morgan Creek, Doyle Ranch, Sun Valley Oaks and Riolo Greens.

RATES

Quantity Rates:

Residential Customers:	Per 100 gal (CGL)	
For the first 142.1 CGL	\$0.4088	(I)
For all water delivered over 142.1 CGL	\$0.4455	(I)
All Other Customers:		
For all water delivered, per CGL	\$0.4164	(I)
Service Charge: General Metered	Per Meter	
Ç	Per Month	
For 5/8 x 3/4-inch meter	\$12.95	(I)
For 3/4-inch meter	\$19.43	Ĩ
For 1-inch meter	\$32.38	
For 1-1/2-inch meter	\$64.77	
For 2-inch meter	\$103.63	
For 3-inch meter	\$194.30	
For 4-inch meter	\$323.83	
For 6-inch meter	\$647.66	
For 8-inch meter	\$1,036.25	
For 10-inch meter	\$1,489.61	
For 12-inch meter	\$2,137.27	(İ)

The Service Charge is a readiness-to-serve charge which is applicable to all general metered services and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY	UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1140	J.T. LINAM	DATE FILED
		NAME	EFFECTIVE
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION
		TITLE	

655 West Broadway, #1410 San Diego, CA 92101 Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Per Meter

Schedule No. SC-1 (continued)
Sacramento District Tariff Area
GENERAL METERED SERVICE

RATES (Continued)

Service Charge: Residential Fire Sprinkler System (RFSS)

	Per Month	
For 5/8 x 3/4-inch residential to 1-inch residential metered fire sprinkler	\$18.89	(I)
For 5/8 x 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$22.10	
For 5/8 x 3/4-inch residential to 2-inch residential metered fire sprinkler	\$23.17	
For 3/4-inch residential to 1-inch residential metered fire sprinkler	\$27.54	
For 3/4-inch residential to 1 1/2-inch residential metered fire sprinkler	\$30.74	
For 3/4-inch residential to 2-inch residential metered fire sprinkler	\$31.80	
For 1-inch residential to 1 1/2-inch residential metered fire sprinkler	\$47.68	
For 1-inch residential to 2-inch residential metered fire sprinkler	\$48.56	
For 1 1/2-inch residential to 2-inch residential metered fire sprinkler	\$90.89	(I)

The Service Charge is a readiness-to-serve charge which is applicable to all Residential Fire Sprinkler System metered services only and to which is added the charge for water used computed at the Quantity Rates.

(Continued)

(TO BE INSERTED BY	UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)	
ADVICE LETTER NO.	1140	J.T. LINAM	DATE FILED	
		NAME	EFFECTIVE	
DECISION NO.		DIRECTOR - Rates & Regulatory	RESOLUTION	

TITLE

655 West Broadway, #1410 San Diego, CA 92101 Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

(C)

Schedule No. SC-1 (continued) Sacramento District Tariff Area GENERAL METERED SERVICE

SPECIAL CONDITIONS:

General Items:

- 1. Qualifying low-income customers can receive a discount on their bill. Customers must apply with the Company for acceptance into the low-income program. For additional details, please see Tariff Schedule CA-LIRA.
- 2. Any customer paying for service at a premise where a Residential Fire Sprinkler System (RFSS) is required/requested to be installed by local fire and building codes shall be allowed to have their monthly service charge modified in accordance with the monthly costs for RFSS service charges. Provided, however, that the RFSS rate has been requested by the customer and verified by the Company that the smaller size of meter would be large enough to provide adequate service for the property in absence of the additional demand necessary to supply water to the sprinkler system. The RFSS will not be considered a fire service by the Company, but as an oversized general metered service. As such the rules and conditions of service for general metered service shall apply.

Fees and Surcharges:

- 3. All bills are subject to the reimbursement fee set forth in Schedule No. UF.
- 4. Per Advice Letter 1072-B, a surcharge of \$0.0052 per hundred gallons will be applied to each bill to fund conservation efforts. The surcharge will remain in effect until otherwise directed by the Commission.
- 5. Per Advice Letter 1096, a surcharge of \$1.21 for the Low-Income Ratepayer Assistance Program ("LIRAP") Balancing Account will be collected from all non-low income water and wastewater customers

wastewater customers.

6. Per Advice Letter 1102-B, the balance in the Sacramento District Consolidated

- Expense Balancing Account (CEBA) will be recovered through a quantity based surcharge of \$0.0244 per 100 gallons over 12 months. The effective date for surcharge is June 28, 2016 and will be recovered from all customer classes in all service areas.
- 7. Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA) Surcharge.
 - a. A surcharge is included in each bill to recover the net under-collection in the Water Revenue Adjustment Mechanism (WRAM) and Modified Cost Balancing Account (MCBA). For the period ending December 31, 2015, the net under-collection totals \$9,391,643 including interest. The surcharge is \$0.0504 per 100 gallons and will remain effective for 21 months beginning March 31, 2016.

(TO BE INSERTED BY	UTILITY)	ISSUED BY	(TO BE INSERTED BY C.P.U.C)
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		TITLE	

655 West Broadway, #1410 San Diego, CA 92101

	Original	C.P.U.C SHEET NO.	XXXX-W
	_		
CANCELLING		C.P.U.C SHEET NO.	XXXX-W

Schedule No. CA-LIRA California American Water LOW INCOME RATEPAYER ASSISTANCE PROGRAM

APPLICABILITY

Applicable to all water furnished on a metered basis.

TERRITORY

All territories served by California American Water Company

RATES:

Northern Division:

Sacramento District

Quantity Rates: Base Rate

Per 100 gal (CGL)

For the first 142.1 CGL \$0.3270 For all water delivered over 142.1 CGL \$0.3564 (I) (I)

(I)

Service Charge: General Metered Per Meter

 Per Month

 For 5/8 x 3/4-inch meter
 \$10.36

 For 3/4-inch meter
 \$15.54

 For 1-inch meter
 \$25.91

 For 1-1/2-inch meter
 \$51.81

 For 2-inch meter
 \$82.90

 For 3-inch meter
 \$155.44

\$155.44 \$259.06 \$518.13

For 8-inch meter \$829.00 For 10-inch meter \$1,191.69 For 12-inch meter \$1,709.82

(1)

Larkfield District

For 4-inch meter

For 6-inch meter

Quantity Rates: <u>Base Rate</u>

Per 100 gal (CGL)

For the first 52.4 CGL \$0.6297

For the next 52.4 CGL \$0.7238

For the next 139.4 CGL \$1.1748

For all water delivered over 243.9 CGL \$1.5380

(Continued)

(TO BE INSERTED BY UTILITY) ISSUED BY (TO BE INSERTED BY C.P.U.C)

ADVICE LETTER NO. 1140 J. T. LINAM DATE FILED

NAME EFFECTIVE

DECISION NO. DIRECTOR - Rates & Regulatory RESOLUTION

TITLE

655 West Broadway, #1410 San Diego, CA 92101

Revised C.P.U.C SHEET NO. XXXX-W

CANCELLING Revised C.P.U.C SHEET NO. XXXX-W

Schedule No. CA-4 California American Water PRIVATE FIRE PROTECTION SERVICE

APPLICABILITY

Applicable to all water service furnished for privately owned fire protection system.

TERRITORY

All territories served by California American Water Company

RATES

Larkfield District	Per Month
For each 1 1/2-inch connection	\$25.74
For each 4-inch connection	\$31.04
For each 6-inch connection	\$50.60
For each 8-inch connection	\$70.64
For each 10-inch connection	\$91.37

Los Angeles County District

For each 4-inch connection or smaller	\$58.01
For each 6-inch connection	\$97.30
For each 8-inch connection	\$138.08
For each 10-inch connection	\$174.38
For each 12-inch connection	\$248.09

Monterey County District

For each 1-inch connection	\$25.33
For each 1 1/2-inch connection	\$27.86
For each 2-inch connection	\$30.39
For each 3-inch connection	\$35.45
For each 4-inch connection	\$40.51
For each 6-inch connection	\$75.38
For each 8-inch connection	\$113.87
For each 10-inch connection	\$156.13

Sacramento Division

For each 4-inch connection or smaller	\$66.73	(R)
For each 6-inch connection	\$111.50	(R)
For each 8-inch connection	\$158.01	(R)
For each 10-inch connection	\$197.11	(R)
For each 12-inch connection	\$281.63	(R)

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C)
ADVICE LETTER NO.	1140	J.T. LINAM	DATE FILED
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TITLE

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. 8274-W

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(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service

Areas) (Continued)

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Residential/Non-Residential Mixed Use 7731-W, 8231-W, 8220-W, 8232-W

7735-W, 7736-W, 8026-W, 8260-W

C.P.U.C. SHEET NO.

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(Ambler Park, Ralph Lane & Chualar Service Areas)

MO-ARC-1 General Metered Service 8271-W, 8119-W, 8120-W, 8236-W,

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(Toro)

MO-TO-1 General Metered Service 8128-W, 8201-W, 8019-W, 7644-W

(Garrapata)

MO-GA-2 General Flat Rate Service 7693-W, 8170-W

Sacramento District

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Revised

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San Diego County District

SD-1 General Metered Service XXXX-W, XXXX-W, 8184-W, 8013- (C)

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
ADVICE LETTER NO.	1140	J. T. LINAM	DATE FILED
	_	NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
		TITLE	

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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6599-W, 6600-W

Revised

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Larkfield District

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LK-F Facilities Fees 7651-W

Los Angeles County District

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Monterey County District

(Monterey Main, Hidden Hills, Ryan Ranch, & Bishop Service Areas)

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(TO BE INSERTED BY UTILITY)

ADVICE LETTER NO. 1140

J. T. LINAM

DATE FILED

NAME

DECISION NO.

DIRECTOR – Rates & Regulatory

TITTLE

TITTLE

(TO BE INSERTED BY C.P.U.C.)

DATE FILED

EFFECTIVE

RESOLUTION

655 West Broadway, Suite 1410

San Diego, CA 92101 CANCELLING Revised C.P.U.C. SHEET NO. XXXX-W

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(TO BE INSERTED BY UTILITY)		ISSUED BY	(TO BE INSERTED BY C.P.U.C.)
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	_	NAME	EFFECTIVE
DECISION NO.		DIRECTOR – Rates & Regulatory	RESOLUTION
		TITLE	

A.13-07-002 SERVICE LIST CALIFORNIA-AMERICAN WATER COMPANY ADVICE LETTER 1140

BY MAIL:

James M. Bouler 133 Eton Court Santa Rosa, CA 95403

Shanna Foley

Calif Public Utilities Commission

Legal Division

505 Van Ness Avenue Room 5125 San Francisco, CA 94102-3214

John K. Hawks

California Water Association

601 Van Ness Ave., Ste. 2047, Mc E3-608

San Francisco, CA 94102-3200

Lori Anne Dolqueist, Esq

Attorney At Law

Manatt Phelps & Phillips Llp

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